



# TRANSMITTAL FORM

(To be used for all correspondence  
after initial filing)

Application Number	10/655,162
Filing Date	September 4, 2003
First Named Inventor	George A. Locko
Art Unit	1711
Examiner Name	
Attorney Docket No.	921042.449

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> CD(s), Number of CD(s) _____
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Amendment/Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Declaration	<input checked="" type="checkbox"/> Return Receipt Postcard
<input type="checkbox"/> Information Disclosure Statement; Form PTO-1449	<input checked="" type="checkbox"/> Statement under 37 CFR 3.73(b)	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Cited References	<input type="checkbox"/> Terminal Disclaimer	<u>Copy of Assignment</u>
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	_____
<input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53		_____
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		_____

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

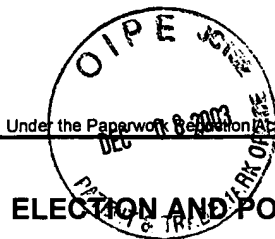
Individual Name	Hai Han	Customer Number <b>00500</b>
Signature		
Date	December 3, 2003	

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Susan C. Clingerman	
Signature		Date: December 3, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
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PTO/SB/81 (06-03)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<b>ELECTION AND POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM</b>	<b>Application Number</b>	10/655,162
	<b>Filing Date</b>	September 4, 2003
	<b>First Named Inventor</b>	George A. Locko
	<b>Title</b>	RESINS AND ADHESIVE FORMULATIONS THEREWITH
	<b>Art Unit</b>	
	<b>Examiner Name</b>	
	<b>Attorney Docket Number</b>	921042.449

I hereby appoint:

☒ Practitioners at Seed IP Law Group PLLC, Customer Number: **00500**

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

☐ The address associated with Customer Number:

OR

<input type="checkbox"/> Firm or Individual Name				
Address				
Address				
City		State		ZIP
Country				
Telephone		Fax		

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

*Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).*

☒ As assignee of record of the entire interest I/we hereby elect, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventor(s).

**SIGNATURE of Applicant or Assignee of Record**

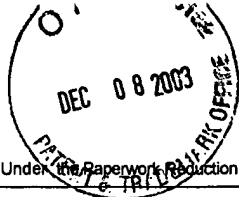
Name	Barbara J. Batten
Signature	Barbara J. Batten
Date	11/24/03

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

<input type="checkbox"/> *Total of _____ forms are submitted.
---

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/96 (08-03)

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: George A. Lcko and Abhay K. DeshpandeApplication No./Patent No.: 10/655,162 Filed/Issue Date: September 4, 2003Entitled: RESINS AND ADHESIVE FORMULATIONS THEREWITHArizona Chemical Company

(Name of Assignee)

a

corporation(Type of Assignee, e.g., corporation, partnership,  
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_%

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above.  
The assignment was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the  
current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title noted in B above are  
attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be  
submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records  
of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

11/24/03  
Date\_\_\_\_\_  
Telephone Number

Barbara T. Batten  
Typed or printed name  
Barbara T. Batten  
Signature  
Assistant Secretary  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



**ASSIGNMENT AND AGREEMENT IN  
A UNITED STATES PATENT APPLICATION**

We hereby declare that we are the joint inventors of the invention relating to "RESINS AND ADHESIVE FORMULATIONS THEREWITH" as described and claimed in the specification for United States letters patent executed concurrently herewith;

For valuable consideration received, we hereby sell, assign and transfer to ARIZONA CHEMICAL COMPANY, a corporation duly organized and existing under the laws of the State of Delaware, and having a place of business at 4600 Touchton Road East, Suite 500, Jacksonville, Florida 32246-8225; its successors, and assigns, our entire right, title and interest, for all countries, in and to said invention, and all the rights and privileges under any and all Letters Patents that may be granted on any application that claims priority from the above-identified patent application, including any divisions, continuations, reissues and extensions thereof.



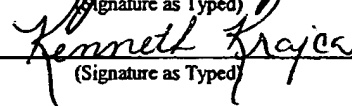
We agree that, when requested, we will, without charge to said corporation but at its expense, sign all papers, take all rightful oaths, make all rightful declarations, and do all acts which may be necessary, desirable, or convenient for securing and maintaining patents or other forms of protection for said invention in any and all countries and for vesting title thereto in said corporation, its successors, assigns, or nominees.

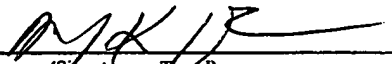
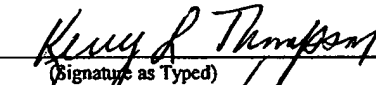
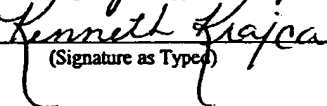
We agree that we will communicate to said corporation or its representatives any facts known to us respecting said invention, and when requested by said corporation, and at its expense, will testify in any legal proceedings, and generally do everything possible to aid said corporation, its successors, assigns, and legal representatives or nominees, to obtain or enforce proper protection for said invention in any and all countries.

We authorize and empower said corporation, its successors, assigns or nominees, to make application for patent or other form of protection for said invention in its or their own name, or in our names, in any and all countries and to invoke and claim for any application for patent or other form of protection for said invention filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from ourselves.

We hereby consent that a copy of this Assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file, or like document, which may be required in any country for any purpose and, more particularly, in proof of the right of the said corporation or its successors, assigns, or nominees to apply for patent or other proper protection for said invention and to claim the aforesaid benefits of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

We covenant with ARIZONA CHEMICAL COMPANY, its successors, assigns, legal representatives, and nominees, that to the best of our knowledge the right, title, and interest herein conveyed by ourselves is free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

Signed at <u>SAVANNAH, GA</u>	Date <u>September 3, 2003</u>
Inventor (1) <u>George A. Locko</u>	<u></u> (Signature as Typed)
Mailing Address <u>5 Franklin Creek Road South, Savannah, Georgia 31411</u>	
1st Witness <u>KERRY L. THOMPSON</u>	<u></u> (Signature as Typed)
2nd Witness <u>Kenneth Krajca</u>	<u></u> (Signature as Typed)

Signed at <u>SAVANNAH, GA</u>	Date <u>September 3, 2003</u>
Inventor (2) <u>Abhay K. Deshpande</u>	<u></u> (Signature as Typed)
Mailing Address <u>1825 Grove Point Road, Apt. 209, Savannah, Georgia 31419</u>	
1st Witness <u>KERRY L. THOMPSON</u>	<u></u> (Signature as Typed)
2nd Witness <u>Kenneth Krajca</u>	<u></u> (Signature as Typed)



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : George A. Locko and Abhay K. Deshpande  
Application No. : 10/655,162  
Confirmation No. : 6804  
Filed : September 4, 2003  
For : RESINS AND ADHESIVE FORMULATIONS THEREWITH  
Art Unit : 1711  
Docket No. : 921042.449  
Date : December 2, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria , VA 22313-1450

APPOINTMENT OF ASSOCIATE POWER OF ATTORNEY

Commissioner for Patents:

I, Carol J. Roth, attorney of record in the above-identified application, hereby appoint the following as associate attorneys or agents: Richard C. Stewart II, Registration No. 28,047; Kenneth R. Schaefer, Registration No. 20,409; and Melvin D. Fletcher, Registration No. 36,137.

It is requested that correspondence be addressed to the undersigned principal attorney.

Respectfully submitted,  
Seed Intellectual Property Law Group PLLC

Carol J. Roth  
Carol J. Roth  
Registration No. 32,783

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